<u>Investors Complaints Data for First Global Finance Private Limited for the month ending</u> <u>-November 2022</u>

SN	Received from	Pendin g as at the end of last month	Received during the particular ar month	Resolved during the particula r month*	Total Pending g during the particul arar month #	Pending complaint > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried from month	forward previous	Received during the particular month	Resolved during the particular month *	Pending at the end of the particula rmonth #
1	July, 2022		NIL	NIL	NIL	NIL
2	August, 2022		NIL	NIL	NIL	NIL
3	Sept 2022		NIL	NIL	NIL	NIL
4	October 2022		NIL	NIL	NIL	NIL
5	November 2022		NIL	NIL	NIL	NIL
	Grand Total		NIL	NIL	NIL	NIL

- ^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- * Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2018	Nil	Nil	Nil	Nil
2	2019	Nil	Nil	Nil	Nil
3	2020	Nil	Nil	Nil	Nil
4	2021	Nil	Nil	Nil	Nil
5	2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

The Merchant Banker has not done any assignment in last more than 5 years.